



**PAUL D. PATE**  
*Secretary of State*  
*State of Iowa*

**LIMITED LIABILITY COMPANY  
 APPLICATION FOR  
 CERTIFICATE OF AUTHORITY**

**TO THE SECRETARY OF STATE OF THE STATE OF IOWA:**

**Pursuant to section 802 of the *Iowa Revised Uniform Limited Liability Company Act*, the undersigned applies for a certificate of authority to transact business in Iowa and hereby states:**

1. The name of the LLC or protected series: \_\_\_\_\_

1A. The name the LLC or protected series will use in Iowa, **if different** than the legal name above:

\_\_\_\_\_  
 (Refer to note #6 on the Notes page)

2. The LLC or protected series is formed under the laws of the state (or foreign country) of:

\_\_\_\_\_  
 2A. If a protected series, the legal name of the series limited liability company of which it is a protected series:

\_\_\_\_\_

3. The duration of the LLC or protected series is: \_\_\_\_\_

4. The date of formation of the LLC or protected series is: \_\_\_\_\_

5. The street and mailing address of its registered office in Iowa and the name of its registered agent at that office that both comply with section 489.113:

\_\_\_\_\_  
 Name

\_\_\_\_\_  
 Address City State Zip

5A. If a protected series, and the series limited liability company has other protected series, the name, street, and mailing address of a person who knows the information listed in note #5A on the Notes page:

\_\_\_\_\_  
 Name

\_\_\_\_\_  
 Address City State Zip

6. The street address of its principal office:

\_\_\_\_\_  
 Address

\_\_\_\_\_  
 City State Zip

7. Indicate if the limited liability company is a member-managed or manager-managed limited liability company by marking the appropriate box. State the name of one member or manager and the street and mailing addresses of that member or manager.

Member Managed                      OR                      Manager Managed

\_\_\_\_\_  
Name

\_\_\_\_\_  
Address    City    State    Zip

8. The effective date and time of this application, if different than the date and time of filing (Refer to note #5 on the Notes page)

Date \_\_\_\_\_ Time \_\_\_\_\_

9. **A certificate of existence or a record of similar import, signed by the secretary of state or other official having custody of the company's publicly filed report in the state or other jurisdiction under whose law the LLC or series is formed, accompanies this application.**

10. Signature \_\_\_\_\_ Date \_\_\_\_\_

Type or print name and title \_\_\_\_\_

## NOTES:

1. The filing fee is \$100.00. Make checks payable to SECRETARY OF STATE.
2. A certificate of existence or a record of similar import, duly authenticated within 90 days prior to the date of this application, by the secretary of state or other official having custody of the company's publicly filed records in the state or other jurisdiction under whose law the company is formed, must accompany this application. If this application is for a protected series of a series limited liability company or the equivalent, and the state of formation of the protected series does not provide for the issuance of certificates of existence or similar for protected series, a certificate of existence or similar for the series limited liability company of which the protected series is a protected series must accompany this application.
3. The application is to be signed by a person authorized by the company.
4. One copy of the application is to be delivered to the secretary of state for filing.
5. The effective time and date of the application is the **later** of the following:
  - a. the time of filing on the date it is filed;
  - b. the time specified in the application on the date it is filed.
  - c. the time & date specified in the application, not later than 90 days after the date it is filed.
- 5A. If this application is for a protected series, and the series limited liability company has other foreign protected series, complete item 5A with the name, street, and mailing address of **one individual** who knows the name, street, and mailing address of **all** of the following:
  - a. Each other foreign protected series of the series limited liability company.
  - b. The foreign protected series manager of and agent for service of process for each other foreign protected series of the foreign series limited liability company.
6. If the name of the applicant does not satisfy the requirements of section 108 and, if it is a protected series, section 14202, of Iowa Code chapter 489, it may do one of the following in applying for a certificate of authority:
  - a. add "limited liability company" or "limited company" or the abbreviation "L.L.C.", "LLC", "L.C.", or "LC". "Limited" may be abbreviated as "Ltd.", and "company" may be abbreviated as "Co.". If a protected series, also add "protected series" or the abbreviation "P.S." or "PS".

### OR

- b. choose a compliant, available name for transacting business in Iowa if the limited liability company's real name is unavailable.

If it is a protected series, file with this application a Fictitious Name Resolution pursuant to Iowa Code sections 489.14703(3) and 489.108(5).

7. The information you provide will be open to public inspection under Iowa Code chapter 22.11.

### SECRETARY OF STATE

Business Services Division  
Lucas Building, 1st Floor  
Des Moines, IA 50319

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Fax: (515) 242-5953

Website: [sos.iowa.gov](http://sos.iowa.gov)